

| rm Name | | | | | | |
|---|-------------------|-----------------|-------------------------------|----------------|----------------------|----------------|
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| o what extent do you agree or disagree with each of the lect one option for each statement | following stateme | nts? | | | | |
| | Strongly agree | Agree | Neither agree nor disagree | Disagree | Strongly disagree | Not applicable |
| The PRA has an appropriate understanding of the sector my irm operates in and its business models | С | c | С | c | c | c |
| My firm has a clear understanding of the regulatory objectives of the PRA | C | c | C | 0 | 0 | C |
| The PRA has clearly articulated what it sees as the key risks my firm poses to its objectives and is clear about mitigation expectations | c | С | С | С | 0 | С |
| My firm is clear about the specific actions the PRA expects us to take | C | 0 | c | 0 | c | С |
| Challenge from the PRA has influenced my firm's strategy, its execution and/or business operation | O | c | C | 0 | С | С |
| My firm has an effective relationship with the PRA | О | О | C | 0 | О | C |
| My firm has adequate access to the right people at the PRA | 0 | 0 | 0 | 0 | 0 | 0 |
| Communication from the PRA is clear, timely and appropriate for my firm | C | c | 0 | О | С | С |
| The PRA explains clearly why it is requesting data and nformation from my firm | О | С | C | C | С | С |
| Deadlines for requests for data and information from the PRA are generally reasonable | С | С | c | O | С | С |
| information channels (e.g. publications, speeches, Bank of England website) are effective at informing our firm of the PRA's expectations | 0 | О | С | C | 0 | C |
| | | | | | | |
| orther comments - include further information about you formation requests, the PRA's approach to current sect | | s above or on a | any wider topics (e.g. 1 | thematic revie | ws, supervisory hand | lovers, |

| To what extent do you agree or disagree with each of the following statements about the PRA's coordination with other regulators? | | | | | | |
|--|----------------|-------|----------------------------|----------|-------------------|----------------|
| | Strongly agree | Agree | Neither agree nor disagree | Disagree | Strongly disagree | Not applicable |
| The PRA and FCA are appropriately coordinated in their supervision of my firm taking account of their respective regulatory objectives | С | С | С | С | c | С |
| The PRA and overseas regulators are appropriately coordinated in their supervision of my firm taking account of their respective regulatory objectives (leave blank if not applicable) | C | c | C | C | c | c |

| Further comments about the PRA's coordinate | ation with other regulators | | |
|---|-----------------------------|--|--|
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| What has been your experience of the PRA's approach to new technology and is there anything else you would like to see from the PRA on this issue? |
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| What 3 things could the PRA do to improve? |
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| Please confirm who from your firm contributed to the survey response (e.g. CEO, Compliance Director, Regulatory Liaison) |
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| If your firm is selected to receive a follow up meeting to discuss any of the points that you have raised in this questionnaire or to give you the opportunity to discuss any other matters relating to the effectiveness of the PRA's supervisory approach, please indicate your preference of interview type below. |
| C Face to face C Telephone interview |
| |

To <u>review</u> and <u>print</u> your response please <u>click here</u>. This will take you to a new window so please ensure you return to the correct window to submit.

Please note that the print and review facility $\underline{\text{will not be available}}$ to you following submission.

Completed: 100%